PATENT COOPERATION TREATY REC'D 0 7 AUG 2001

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WIPO PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

	I.	1
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Applicant's or ac	ent's file reference	<u> </u>			
E19690 RL/J	•	FOR FURTHER A	CTION		ation of Transmittal of International Examination Report (Form PCT/IPEA/416)
International app	olication No.	International filing date	(day/month/	year)	Priority date (day/month/year)
PCT/NO00/0	0079	06/03/2000			08/03/1999
International Patent Classification (IPC) or national classification and IPC A23K3/03					
Applicant					
NORSK HYD	RO ASA et al.				
	national preliminary examinational preliminary examinations and the applicant and the second		prepared	by this Inter	national Preliminary Examining Authority
2. This REPO	ORT consists of a total of	5 sheets, including this	s cover she	eet.	
been a (see F	eport is also accompanied amended and are the bas Rule 70.16 and Section 60 nexes consist of a total of	is for this report and/or 7 of the Administrative	sheets co	ntaining rec	e, claims and/or drawings which have stifications made before this Authority e PCT).
3. This report	contains indications relat	ing to the following iter	ns:		
🗆	Priority			-	
III 🗆	Non-establishment of op-	pinion with regard to no	ovelty, inve	ntive step a	and industrial applicability
IV 🗆	Lack of unity of invention				
V ⊠	Reasoned statement un citations and explanation			ovelty, inver	ntive step or industrial applicability;
vı 🗆			Silient		i
VII ⊠	Certain defects in the int				
VIII ⊠	Certain observations on		cation		
Date of submission	on of the demand		Date of co	mpletion of th	nis report
05/10/2000	05/10/2000 03.08.2001				

Authorized officer

Telephone No. +49 89 2399 7503

Couzy, F

Name and mailing address of the international

European Patent Office D-80298 Munich

Fax: +49 89 2399 - 4465

Tel. +49 89 2399 - 0 Tx: 523656 epmu d

preliminary examining authority:

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/NO00/00079

I.	Ba	sis f th report	
1.	the and	receiving Office in	nents of the international application (Replacement sheets which have been furnished to response to an invitation under Article 14 are referred to in this report as "originally filed" this report since they do not contain amendments (Rules 70.16 and 70.17)):
	1-5	•	as published
	Cla	iims, No.:	
	1-1	3	as published
2.		-	uage, all the elements marked above were available or furnished to this Authority in the nternational application was filed, unless otherwise indicated under this item.
	The	ese elements were a	evailable or furnished to this Authority in the following language: , which is:
		the language of a	translation furnished for the purposes of the international search (under Rule 23.1(b)).
		the language of pu	blication of the international application (under Rule 48.3(b)).
		the language of a to 55.2 and/or 55.3).	ranslation furnished for the purposes of international preliminary examination (under Rule
3.			leotide and/or amino acid sequence disclosed in the international application, the y examination was carried out on the basis of the sequence listing:
		contained in the in	ternational application in written form.
		filed together with	the international application in computer readable form.
		furnished subsequ	ently to this Authority in written form.
		furnished subsequ	ently to this Authority in computer readable form.
			the subsequently furnished written sequence listing does not go beyond the disclosure in oplication as filed has been furnished.
		The statement that listing has been fur	the information recorded in computer readable form is identical to the written sequence nished.
4.	The	amendments have	resulted in the cancellation of:
		the description,	pages:
		the claims,	Nos.:
		the drawings.	sheets:

5.

This report has been established as if (some of) the amendments had not been made, since they have been

considered to go beyond the disclosure as filed (Rule 70.2(c)):

INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/NO00/00079

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability: citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims 3-4, 6-7, 9-12

No:

Claims 1-2, 5, 8, 13

Inventive step (IS)

Yes:

Claims 7, 11

No:

Claims 3-4, 6, 9-10, 12

Industrial applicability (IA)

Yes: Claims 1-13

No: Claims

2. Citations and explanations see separate sheet

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

EXAMINATION REPORT - SEPARATE SHEET

R_Item V

Reasoned statement under Article 35 (2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

V.1 Reference is made to the following documents:

D1: SU-A1-1449095

D2: CN-A-1059454

D3: US-A-4915876

D4: WO-A1-9937168

D5: SE-B-455754

D6: 'CAN. J. ANIM. SCI.,',",78/4/00-00-1998,633-640,

D7: 'Production and use of fish', 'JENSEN PREBEN MOELLER.'. 'STN INTERNATIONAL,','FILE CAPLUS', ACC. NO. 1975:546002, DOC. NO.

83:146002.

D8: US-A-4592915

V.2 The subject-matter of claims 1-2, 5, 8, 13 is not new in the sense of Art. 33 (2) PCT, and the subject-matter of claims 3-4, 6, 9-10, and 12 does not involve an inventive step in the sense of Art. 33 (3) PCT.

V.2.1 Indeed, document D1 discloses a silage additive comprising 38-42% formic acid and 1.5-2.5% BHT (described by the trade mark "Agidol"). Thus, the subject-matter of claims 1, 2, 5, 8, and 13 is not new in light of D1 alone.

Document D5 also appears to disclose additives for the preservation of animal feed comprising short chain carboxylic acids and BHT (see examples 1 and 12a on p.11 and 13, as well as the last paragraph of p.8).

V.2.2 The subject-matter of claims 3, 9 and 10 differs from what is disclosed in D1 by the fact that a higher concentration range for the dicarboxylic acid is selected (60-100% vs. 38-42% in D1). No unexpected effect linked to that new concentration range is disclosed, thus the subject-matter of said claims 3, 9 and 10 does not involve an inventive step.

V.2.3 The use of alternative short chain carboxylic acid for the preservation of products,

INTERNATIONAL PRELIMINARY

International application No. PCT/NO00/00079

EXAMINATION REPORT - SEPARATE SHEET

including "nutritional ingredients", can be derived from D2, which discloses propionic acid, and the use of alternative antioxidants from D3 (claims 11 and 25). The Demande does not mention unexpected effects linked to the use of these compounds. Therefore, the subject-matter of claims 4, and 6 does not appear to involve an inventive step.

V.2.4 Since the subject-matter of claim 13 is not new, but is also certainly applicable to fish silage unless the applicant shows the contrary, subject-matter of claim 12 does not involve an inventive step.

V.2.5 It seems that since the application reveals an unexpected effect of combining BHA and BHT with a short chain carboxylic acid (description p.3 li.4-5), and that additives comprising these three compounds are not disclosed in the available prior art documents, this finding could serve as a basis for claims, the subject-matter of which would be new and involve an inventive step.

V.3 The subject-matter of all claims is industrially applicable in the sense of Art. 33 (4) PCT.

Re Item VII

Certain defects in the international application

VII.1 Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D1-D3 is not mentioned in the description, nor are these documents identified therein.

Re Item VIII

Certain observations on the international application

VIII.1 The description is not in conformity with the claims as required by Rule 5.1(a)(iii) PCT. Namely, the features of claims 1 and 8 (gallates in general vs. propyl gallate on p.2 li.19 and 24), 3, 4, 5, 9, and 10 are not present in the description and should be inserted therein.

Applicant NORSK HYDRO ASA et a	al								
International application No. International filing de PCT/NO00/00079 06 March 200		late (day/month/year) 00 (06.03.00)	1		ay/month/yea h 1999 (08	•)		
Applicant's or agent's file reference P9923		IN	IPORTAN				sign.	_	_
14 September 2000 (14.09.00)			Í	Por	art (dato)			글	_
Date of mailing (day/month/year)							\neg	1	-
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(PCT Rule 47.1(c), first sentence)				/ `	Ricanek	 		Ce	2
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		}			Johnsen Kristiansen			\vdash	
COMMUNICATION OF THE INT		NORVÈGE			Hovland	 		П	_
NOTICE INFORMING THE APPL		N-0240 Oslo			Hofseth			\Box	_
		Norsk Hydro A	ASA		Hanshaugen			17	-
101		SANDBU, Elis	abeth, Da	hl	Hammer			††	-
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PATENT COOPER					Patentavd.	RG/E/I			J
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Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application
to the following designated Offices on the date indicated above as the date of mailing of this Notice:
AU.KP.KR.US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE,AL,AM,AP,AT,AZ,BA,BB,BG,BR,BY,CA,CH,CN,CR,CU,CZ,DE,DK,DM,EA,EE,EP,ES,FI,GB,GD,GE,GH,GM,HR,HU,ID,IL,IN,IS,JP,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK,MN,MW,MX,NO,NZ,OA,PL,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU,ZA,ZW The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

 Enclosed with this Notice is a copy of the international application as published by the International Bureau on 14 September 2000 (14.09.00) under No. WO 00/53033

REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO	Authorized officer
34, chemin des Colombettes 1211 Geneva 20, Switzerland	J. Zahra
Facsimile No. (41-22) 740.14.35	Telephone No. (41-22) 338.83.38

NOTICE INFORMING THE APPLICANT OF THE COMMONICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES

Date of mailing (day/month/year)	IMPORTANT MOTICE
14 September 2000 (14.09.00)	IMPORTANT NOTICE
Applicant's or agent's file reference P9923	International application No. PCT/NO00/00079
The applicant is hereby notified that, at the time of establishm amendments under Article 19 has not yet expired and the Internated declaration that the applicant does not wish to make amendment.	ational Bureau had received neither such amendments nor a

WOTTALL FR.H. -5P9 /JUU

ENT COOPERATION TREAT

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF RECEIPT OF RECORD COPY

(PCT Rule 24.2(a))

То:			
SANDBU, Elisabeth	, Dahl		
Norsk Hydro ASA N-0240 Oslo	;		
NORVÈGE	X	V	avo
	X	V	40

Date of mailing (day/month/year) 05 April 2000 (05.04.00)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference P9923	International application No. PCT/NO00/00079

The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

NORSK HYDRO ASA (for all designated States except US)

AASBØ, Kari et al (for US)

International filing date

06 March 2000 (06.03.00)

Priority date(s) claimed

08 March 1999 (08.03.99)

Date of receipt of the record copy by the International Bureau

22 March 2000 (22.03.00)

List of designated Offices

AP:GH,GM,KE,LS,MW,SD,SL,SZ,TZ,UG,ZW

EA:AM,AZ,BY,KG,KZ,MD,RU,TJ,TM

EP:AT,BE,CH,CY,DE,DK,ES,FI,FR,GB,GR,IE,IT,LU,MC,NL,PT,SE

OA:BF,BJ,CF,CG,CI,CM,GA,GN,GW,ML,MR,NE,SN,TD,TG

National: AE,AL,AM,AT,AU,AZ,BA,BB,BG,BR,BY,CA,CH,CN,CR,CU,CZ,DE,DK,DM,EE,ES,FI,GB, GD,GE,GH,GM,HR,HU,ID,IL,IN,IS,JP,KE,KG,KP,KR,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK, MN,MW,MX,NO,NZ,PL,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,TZ,UA,UG,US,UZ,VN,YU,ZA,

ATTENTION

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

	In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:			
	X	time limits for entry into the national phase		
•		confirmation of precautionary designations		
		requirements regarding priority documents		
cc	copy of this Notification is being sent to the receiving Office and to the International Searching Authority.			

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer:

J. Leitao

Telephone No. (41-22) 338.83.38

Facsimile No. (41-22) 740.14.35

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

SANDBU, Elisabeth, Dahl Norsk Hydro ASA N-0240 Oslo

NORVÈGE

Date of mailing (day/month/year) 05 April 2000 (05.04.00)	
Applicant's or agent's file reference P9923	IMPORTANT NOTIFICATION
International application No.	International filing date (day/month/year)
PCT/NO00/00079	06 March 2000 (06.03.00)
International publication date (day/month/year)	Priority date (day/month/year)
Not yet published	08 March 1999 (08.03.99)
Applicant	
NORSK HYDRO ASA et al	

- The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
- An asterisk(*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
- The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau. as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

Priority date Priority application No. Country or regional Office Date of receipt or PCT receiving Office of priority document 08 Marc 1999 (08.03.99) 19991111 NO 22 Marc 2000 (22.03.00)

Authorized officer The International Bureau of WIPO 34, chemin des Colombettes J. Leitao 1211 Geneva 20, Switzerland Facsimile No. (41-22) 740.14.35 Telephone No. (41-22) 338.83.38

PARIZ POT

PCT

REQUEST

For rece	Office use only
International Application No.	<u>:</u>
International Filing Date	
Name of receiving Office and "	'PCT International Application"
Applicant's or agent's file refer	200.00

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.	Name of receiving Office and "PCT International Application"			
	Applicant's or agent's file (if desired) (12 characters mo			
Box No. I TITLE OF INVENTION				
A new silage aid, process for preparing this silage aid	and use of this silage	aid		
Box No. II APPLICANT				
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)				
NORSK HYDRO ASA		Telephone No. +47 22 43 21 00		
N-0240 Oslo Norway		Facsimile No.		
,		+47 22 43 27 25		
		Teleprinter No.		
State (that is, country) of nationality: NO	State (that is, country) of NO	residence:		
		United States the States indicated in the Supplemental Box		
Box No. III FURTHER APPLICANT(S) AND/OR (FURT	HER) INVENTOR(S)			
Name and address: (Family name followed by given name; for a designation. The address must include postal code and name of cot address indicated in this Box is the applicant's State (that is, country of residence is indicated below.) AASBØ, Kari	intro- i ne compro oi me	This person is:		
Børsesjøveien 181 N-3719 Skien		applicant and inventor		
Norway	1	inventor only (If this check-box is marked, do not fill in below.)		
State (that is, country) of nationality:	State (that is, country) of NO	residence:		
This person is applicant all designated all designate for the purposes of:		e United States the States indicated in America only the Supplemental Box		
Further applicants and/or (further) inventors are indicated	on a continuation sheet.			
Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE				
The person identified below is hereby/has been appointed to act of the applicant(s) before the competent International Authorities	on behalf as:	gent common representative		
Name and address: (Family name followed by given name; for designation. The address must include postal companies and samples	n legal entity, full official ode and name of country.)	Telephone No. +47 22 43 27 39		
Norsk Hydro ASA N-0240 Oslo		Facsimile No.		
Norway		+47 22 43 23 08		
		Teleprinter No.		
Address for correspondence: Mark this check-box where space above is used instead to indicate a special address to verify the	no agent or common repres	sentative is/has been appointed and the uld be sent.		

Sheet No. 2

Continuation of Box No. III FURTA PPLICANT(S) A	ND/OR (FURTHER) IN	R(S)
If none of the following sub-boxes is used, th	his sheet should not be in	ncluded in the request.
Name and address: (Family name followed by given name; for a ladssignation. The address must include postal code and name of coulong address indicated in this Box is the applicant's State (that is, country, of residence is indicated below.) BREIVIK, Harald Uranusveien 22, N-3942 Skjelsvik Norway	legal entity, full official ntry. The country of the) of residence if no State	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)
State (that is, country) of nationality: NO	State (that is, country) of NO	residence:
This person is applicant all designated for the purposes of: all designated the United States	States except the ates of America of	United States the States indicated in America only the Supplemental Box
Name and address: (Family name followed by given name; for a l designation. The address must include postal code and name of coun address indicated in this Box is the applicant's State (that is, country, of residence is indicated below.)	legal entity, full official ntry. The country of the) of residence if no State	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)
State (that is, country) of nationality:	State (that is, country) of	residence:
This person is applicant all designated for the purposes of:		United States the States indicated in the Supplemental Box
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State (that is, country) of nationality:	State (that is, country) of	residence:
This person is applicant all designated all designated for the purposes of: States the United States		e United States the States indicated in America only the Supplemental Box
Name and address: (Family name followed by given name; for a idesignation. The address must include postal code and name of counting address indicated in this Box is the applicant's State (that is, country, of residence is indicated below.)	legal entity, full official ntry. The country of the) of residence if no State	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)
State (that is, country) of nationality:	State (that is, country) of	residence:
		the States indicated in the Supplemental Box
Further applicants and/or (further) inventors are indicated o	on another continuation sh	ncet.

The following designations are hereby made "under Rule 4.9(s) (mark the epphicoble check-boxes; abwest on must be market) A ARIPO Patent: A ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, SD Sudan, SL Sierra Lone, SZ Swaziland, TZ United Republic of Trazania, UG Uganda, ZW Zimbubwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT Testing and the PCT E E Eurosian Fatent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyngyzatan, KZ Kazaklastan, MD Republic of Moldova, Convention and of the PCT ET European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtentein, CY Cyprus, DE Germany, MC Monano, ML Netherland, FT Fortugal, SE Sweden, and any other State which is a Contracting State of the trapean Fatent and Liechtentein, CY Cyprus, DE Germany, MC Monano, ML Netherland, FT Fortugal, SE Sweden, and any other State which is a Contracting State of the Purpose Patent of Convention and of the PCT William of Convention of the PCT William of the PC	Box No	V DESIGNATION OF STA			
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El AP ARIPO Patent: CH Ghana, CM Gambia, KE Kenya, LS Lesotho, MY Malawi, SD Sudan, SL Siera Leone, SZ Swaziland Tz Unicet Republic of Tauzania, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harar Protocol and of the PCT protocol and					
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designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn bythe applicant at the expiration of that time limit. (Confirmation (including fees) must reach the receiving Office within the 15-month time limit.)

Sheet No. 4

Box No. VI PRIORITY C	LAIM		Further pr	iority claim:	ndicated	in the Supplemental Box.
Filing date	Number			Where earli	er applicat	ion is:
of earlier application (day/month/year)	of earlier applica	tion	national application: country	regional apprendiction		international application: receiving Office
item (1) 8 March 1999	19991111		NO			
item (2)						
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* Where the earlier application is Convention for the Protection of In	an ARIPO application, adustrial Property for w	it is ma hich tha	ndatory to indicate in the S at earlier application was fi	Supplemental Bo. led (Rule 4.10(b)	x at least of	ne country party to the Paris
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Choice of International Searcl (if two or more International Secondetent to carry out the intern	arching Authorities are ational search, indicate	searc	ch has been carried out by c			to that search (if an earlier tional Searching Authority):
the Authority chosen; the two-letter	coae may be usea):	Date	c (day/month/year)	Number		Country (or regional Office)
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This international application c			l application is accompa	nied by the ite	m(s) mark	ed helow:
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request :	1 2. □ sep	arate si	igned power of attorney			
description (excluding sequence listing part)	_ _		eneral power of attorney	reference nui	mber, if an	y:
claims : 2	ર 4. □ sta	tement	explaining lack of signa	ture		
abstract :	i 5. □ prio	ority do	ocument(s) identified in	Box No. VI as	item(s):	
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sequence listing part of description : (7. 🗀 sep	arate ir	ndications concerning de	posited microc	organism o	r other biological material
	8. [] nuc	eleotide	and/or amino acid sequ	ence listing in	computer	readable form
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Figure of the drawings which should accompany the abstract			nguage of filing of the rnational application:	English		
	OF APPLICANT O					
Next to each signature, indicate the na Elisabeth Dahl Sandbu	me of the person signing a	and the c	apacity in which the person si	gns (if such capac	ity isnot obvi	ous from reading the request).
Elisabeth Dani Sandbu						
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TENT COOPERATION TREAT

NOTIFICATION OF THE RECORDING **OF A CHANGE**

From the INTERNATIONAL	BUREAU
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To:

LILLEGRAVEN, Rita Bryns Zacco AS P.O. Box 765

(PCT Rule 92bis.1 and Administrative Instructions, Section 422)	Sentrum N-0106 Oslo NORVÈGE			
Date of mailing (day/month/year) 04 September 2001 (04.09.01)				
Applicant's or agent's file reference P9923	IMPORTANT NOTIFICATION			
International application No. PCT/NO00/00079	International filing date (day/month/year) 06 March 2000 (06.03.00)			
The following indications appeared on record concerning: the applicant the inventor	X the agent the common representative			
Name and Address SANDBU, Elisabeth, Dahl Norsk Hydro ASA N-0240 Oslo Norway	Telephone No. +47 22 53 27 39 Facsimile No. +47 22 53 23 08 Teleprinter No.			
The International Bureau hereby notifies the applicant that to X the person the name the add				
Name and Address LILLEGRAVEN, Rita	State of Nationality State of Residence			
Bryns Zacco AS P.O. Box 765 Sentrum	Telephone No. +47 22 91 04 00			
N-0106 Oslo Norway	Facsimile No. +47 22 91 05 00			
·	Teleprinter No.			
3. Further observations, if necessary:				
4. A copy of this notification has been sent to:				
X the receiving Office	the designated Offices concerned			
the International Searching Authority X the International Preliminary Examining Authority	X the elected Offices concerned X other: SANDBU, Elisabeth, Dahl			
	Authorized officer			
The International Bureau of WIPO				

34, chemin des Colombettes 1211 Geneva 20, Switzerland

Beate GIFFO-SCHMITT

Telephone No.: (41-22) 338.83.38

Form PCT/IB/306 (March 1994)

Facsimile No.: (41-22) 740.14.35

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PATANT COOPERATION TREAT

From the INTERNATIONAL BUREAU

		TION WE WILLIAM TOWAL BOILEAU
	PCT	To:
	NOTIFICATION OF ELECTION (PCT Rule 61.2) Date of mailing (day/month/year)	Commissioner US Department of Commerce United States Patent and Trademark Office, PCT 2011 South Clark Place Room CP2/5C24 Arlington, VA 22202 ETATS-UNIS D'AMERIQUE
	09 November 2000 (09.11.00)	in its capacity as elected Office
	International application No. PCT/NO00/00079	Applicant's or agent's file reference P9923
	International filing date (day/month/year) 06 March 2000 (06.03.00)	Priority date (day/month/year) 08 March 1999 (08.03.99)
	Applicant	<u> </u>
ļ	AASBØ, Kari et al	
	in the demand filed with the International Preliminary 05 October 200 in a notice effecting later election filed with the International Preliminary 2. The election X was was not	00 (05.10.00) national Bureau on:
	made before the expiration of 19 months from the priority d Rule 32.2(b).	late or, where Rule 32 applies, within the time limit under
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	The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Charlotte ENGER

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Form PCT/IB/331 (July 1992)

Telephone No.: (41-22) 338.83.38

NO0000079

PATENT COOPERATION TREATY **MOTTATT** PATENTAVD. From the: INTERNATIONAL PRELIMINARY EXAMINING AUTHORIT 2 1 MAI 2001 SANDBU, Elisabeth Dahi NORSK HYDRO ASA N-0240 Oslo WRITTEN OPINION **NORVEGE** (PCT Rule 66) Ricarus Date of mailing odnes 18.05.2001 (day/month/year) Applicant's or agent's file reference an (date) Sign REPLY DUE within 1 month(s) "RA. 1816 -0 from the above date of mailing P9923 International application No. International filing date (day/month/year) Priority date (day/montin/year) PCT/NO00/00079 06/03/2000 08/03/1999 International Patent Classification (IPC) or both national classification and IPC A23K3/03 Applicant NORSK HYDRO ASA et al. This written opinion is the first drawn up by this International Preliminary Examining Authority. This opinion contains indications relating to the following items: Basis of the opinion 11 ☐ Priority Ш Non-establishment of opinion with regard to novelty, inventive step and industrial applicability IV Lack of unity of invention ٧ Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability: citations and explanations supporting such statement VΙ Certain document cited Certain defects in the international application VII VIII Certain observations on the international application The applicant is hereby invited to reply to this opinion. When? See the time limit indicated above. The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d). By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. How? For the form and the language of the amendments, see Rules 66.8 and 66.9. Also: For an additional opportunity to submit amendments, see Rule 66.4. For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis. For an informal communication with the examiner, see Rule 66.6. If no reply is filed, the international preliminary examination report will be established on the basis of this opinion. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 08/07/2001. Authorized officer / Examiner Name and mailing address of the international preliminary examining authority:



European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465

Couzy, F

Formalities officer (incl. extension of time limits) Götz, K

Telephone No. +49 89 2399 7381



I. Basis	of the	opini	ion
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1.			nents of the international application (Replacement sheets which have been furnished to esponse to an invitation under Article 14 are referred to in this opinion as "originally filed"):
	De	scription, pages:	
	1-5	;	as originally filed
	Cla	ims, No.:	
	1-1	3	as originally filed
2.			uage, all the elements marked above were available or furnished to this Authority in the nternational application was filed, unless otherwise indicated under this item.
	The	ese elements were a	vailable or furnished to this Authority in the following language: , which is:
		the language of a to	ranslation furnished for the purposes of the international search (under Rule 23.1(b)).
		the language of pul	plication of the international application (under Rule 48.3(b)).
		the language of a tr 55.2 and/or 55.3).	anslation furnished for the purposes of international preliminary examination (under Rule
3.			eotide and/or amino acid sequence disclosed in the international application, the examination was carried out on the basis of the sequence listing:
		contained in the inte	ernational application in written form.
		filed together with the	ne international application in computer readable form.
		furnished subseque	ently to this Authority in written form.
		furnished subseque	ently to this Authority in computer readable form.
			the subsequently furnished written sequence listing does not go beyond the disclosure in plication as filed has been furnished.
		The statement that listing has been furn	the information recorded in computer readable form is identical to the written sequence nished.
4.	The	amendments have	resulted in the cancellation of:
		the description,	pages:
		the claims,	Nos.:
		the drawings,	sheets:

5.	This report has been established as if (some of) the amendments had not been made, since they have been
	considered to go beyond the disclosure as filed (Rule 70.2(c)):

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

6. Additional observations, if necessary:

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Claims 1-3, 5, 8-10, 13

Inventive step (IS)

Claims 4, 6, 12

Industrial applicability (IA)

Claims

2. Citations and explanations see separate sheet

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

35-47 %

Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statem into the control of the co

V.1 Reference is made to the following documents:

D1: SU-A1-1449095

D2: CN-A-1059454

D3: US-A-4915876

D4: WO-A1-9937168

D5: SE-B-455754

D6: ",",'CAN. J. ANIM. SCI.,',",78/4/00-00-1998,633-640,

D7: 'Production and use of fish','JENSEN PREBEN MOELLER.','STN INTERNATIONAL,','FILE CAPLUS',ACC. NO. 1975:546002, DOC. NO. 83:146002,

D8: US-A-4592915

1.5 17/2

V.2 The subject-matter of claims 1-3, 5, 8-10, 13 is not new in the sense of Art. 33 (2) PCT, and the subject-matter of claims 4, 6, and 12 does not appear to involve an inventive step in the sense of Art. 33 (3) PCT.

V.2.1 Indeed, document D1 discloses a silage additive comprising 58-60% formic acid and 1.5-2.5% BHT (described by the trade mark "Agidol"). Thus, subject-matter of claims 1, 2, 3, 5, 8-10, and 13 is not new in light of D1 alone.

Document D5 also appears to disclose additives for the preservation of animal feed comprising short chain carboxylic acids and BHT (see examples 1 and 12a on p.11 and 13, as well as the last paragraph of p.8).

V.2.2 The use of alternative short chain carboxylic acid can be derived from D2, which discloses propionic acid as a component of a silage preservative, and the use of alternative antioxidants from D3 (claims 11 and 25). Therefore, the subject-matter of claims 4, and 6 does not appear to involve an inventive step.

V.2.3 Since the subject-matter of claim 13 is not new, but is also certainly applicable to fish silage unless the applicant shows the contrary, subject-matter of claim 12 does not

WRITTEN OPINION SEPARATE SHEET

involve an inventive step.

V.2.4 It seems that since the application reveals an unexpected effect of combining BHA <u>and</u> BHT with a short chain carboxylic acid (description p.3 li.4-5), and that additives comprising these three compounds are not disclosed in the available prior art documents, this finding could serve as a basis for claims, the subject-matter of which would be new and involve an inventive step.

Re Item VII

Certain defects in the international application

VII.1 Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the document/s ..A.. is not mentioned in the description, nor is/are this/these document/s identified therein.

Re Item VIII

Certain observations on the international application

VIII.1 The description is not in conformity with the claims as required by Rule 5.1(a)(iii) PCT. Namely, the features of claims 1 and 8 (gallates in general vs. propyl gallate on p.2 li.19 and 24), 3, 4, 5, 9, and 10 are not present in the description and should be inserted therein.

NB: In order to facilitate the examination of the conformity of the amended application with the requirements of Article 34(2)(b) PCT, the applicant is requested to clearly identify the amendments carried out, no matter whether they concern amendments by addition, replacement or deletion, and to indicate the passages of the application as filed on which these amendments ar based (see also Rule 66.8(a) PCT). These indications should best be submitted in handwritten form on a copy of the relevant parts of the application as filed.

WRITTEN OPINION SEPARATE SHEET

:a. Îi c

The applicant is requested to file amendments by way of replacement pages in the manner stipulated by Rule 66.8(a) PCT. In particular, fair copies of the amendments should be filed preferably in triplicate.

Any information the applicant may wish to submit concerning the subject-matter of the invention, for example further details of its advantages or of the problem it solves, and for which there is no basis in the application as filed, should be confined to the letter of reply and not be incorporated into the application (Article 34(2)(b) PCT).



Formerly: Bryns Patentkontor A/S

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RITA LILLEGRAVEN

18 June 2001

The International Preliminary Examining Authority European Patent Office D-80298 Munich GERMANY

> By facsimile (3 pages) Confirmation by post

Your ref.:

Our ref.: P9923 RLI

International Patent Application No: PCT/NO00/00079 - Norsk Hydro ASA et al.

Dear Sirs,

With reference to the first written opinion dated May 18, 2001 we have the following comments:

D1: SU-A1-1449095

D1 relates to a silage preservative containing agidol, orthophosphoric acid, and formic acid. The fungicidal and bactericidal activities of the agidol-containing preservative for feed, and the quality of the feed, is improved by adding orthophosphoric acid and formic acid to the preservative. Thus, the compounds of the preservative are: agidol 56-60, formic acid 38-42, and orthophosphoric acid 1,5-2,5 wt. %.

This is what is quoted in the abstract of D1. Because of the quite short time for responding to this written opinion, there has not been possible to obtain a translation of the whole text of D1 into English. From the abstract of D1 it is difficult to fully understand what the subject matter of the disclosed invention really is.

Based on the abstract of D1, the Applicants of the present application disagree in that D1 destroy the novelty of the present claims. In the silage preservative disclosed in D1 orthophosphoric acid, in addition to formic acid and agidol, is an essential compound that always are present in the silage preservative. Agidol seems to be identical to 2,6-di-tert-butyl-4-methylphenol (BHT). The silage aid, process for preparation of the silage aid and use thereof as claimed in the claims of the present application, do not mention orthophosphoric acid at all. BHT and a short chain carboxylic acid that might be formic acid are indeed compounds that are included in the silage aid according to the present invention. However, we

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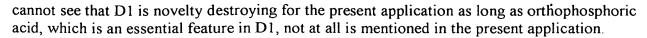
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Furthermore, it seems to be a misprint in the written opinion with regard to the amounts of the compounds of the silage preservative of D1. In the abstract of D1, the amounts are 56-60 wt. % agidol, 38-42 wt. % formic acid, and 1,5-2,5 wt. % orthophosphoric acid.

In the present application it is an object to dissolve the antioxidants BHT, BHA, TBHQ, tocopherol and/or gallates. This object is obtained by dissolving the antioxidant(s) in at least one short chain carboxylic acid and optionally at least one salt of this acid. As given in claim 5, 0,1-10% of the antioxidant is dissolved in the short chain carboxylic acid.

It seems impossible that the high amount of agidol (56-60 wt. %) of the silage preservative in D1 could be dissolved in 38-42 wt % formic acid and 1,5-2,5% orthophosphoric acid. Therefore, it is reasonable to assume that the three compounds constituting the silage preservative in D1 are added separately and not as a solution. This is different from the present invention where the silage aid is a solution of the antioxidant(s) in the short chain carboxylic acid.

D5: SE-B-455754

D5 relates to a process and a preservative for preserving of highly aqueous animal feed stuffs, particularly fish ensilage. The preservative contains one or more organic acids (acetic acid, formic acid), optionally one or more inorganic acids (phosphoric acid), an antioxidant (page 17, last paragraph: etoxyquine, BHT, BHA or TBHQ), a microcide (polyhexamethylenebiguanide (page 16, first paragraph: "Vantocil IB")), and if formic acid is not included, 8-hydroxyquinoline or a salt or a complex thereof is included. The microcide is an essential compound in the preservative according to D5. In a Regulation from the Norwegian Agricultural Supervision regarding feed products ("Forskrift om Fôrvarer" from Landbrukstilsynet) "Vantocil IB" is not registered; i.e. it is not approved. The same goes for the Regulations regarding additives to food, and fish feed ("Forskrifter om tilsetningstoffer til næringsmidler" and "Forskrift om fiskefôr"). These Regulations are harmonized with the European Union (EU). This means that the preservative according to D5 is not applicable in articles of food, which is a main object of the present invention.

D5 is thus not solving the problem as described in the present application, namely to provide a silage aid comprising a food approved antioxidant.

The present invention is because of the above given arguments, both novel and inventive over D5.

D2: CN-A-1059454

D2 is related to long-lasting fungicide compositions for feed preservation, where the preservatives consist of propionic acid and/or salts, dimethyl-fumarate and/or ethyl phydroxybenzoate, Na-enhancers containing sorbic acid and other substances.

According to our opinion, D2 is irrelevant over the present invention. The present invention is directed to a silage aid comprising of one or more food approved antioxidants in a solution. The inventors of the present invention surprisingly found that these antioxidants did dissolve in one or more short chain carboxylic acids like for instance propionic acid. The abstract of D2 is completely silent with regard to antioxidants. Propionic acid is known to have antifungal properties, and the function of the propionic acid in D2 does not seem to be a solvent, but an agent for inhibition of fungus growth.

D3: US-A-4915876

D3 is related to a deodorization process to realize highly polyunsaturated oils of enhanced stability. Step D of this process as described in claim 1, describes adding antioxidants to the acidified, deodorized oil to form an acidified, deodorized, antioxidant loaded oil. That is, the antioxidants are dissolved in the oil. Furthermore, D3 is not mentioning anything about ensiling.

Therefore, D3 is not relevant to the present application in any way.

Conclusion

None of the references discussed by the Examiner in the first written opinion (D1, D5, D2 and D3) are anticipating the present invention either alone or taken together. The present application is fulfilling the requirements of novelty, inventive step and industrial applicability.

We would like to inform you that the Norwegian patent application no. 19991111 wherefrom the present application is claiming priority, was granted on April 2, 2001 with the same set of claims as in the present application. The patent number of this Norwegian Patent is 309796.

We hereby respectfully request that the above arguments are considered closely, and we look forward to receiving a positive IPER.

Finally, for your information, the undersigned has been asked by the applicants to act as their agent in the present case as Ms. Elisabeth Dahl Sandbu now has left the Corporate Patent and Trademark Department of Norsk Hydro ASA. A new Power of Attorney confirming this change of agent was filed with the Norwegian Patent Office on June 5, 2001.

Yours faithfully

BRYNS ZACCO AS

Rita Lillegraven

PCT





INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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A1
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19991111 8 March 1999 (08.03.99) NO

(71) Applicant (for all designated States except US): NORSK HYDRO ASA [NO/NO]; N-0240 Oslo (NO).

(72) Inventors; and

(30) Priority Data:

(75) Inventors/Applicants (for US only): AASBØ, Kari [NO/NO]; Børsesjøveien 181, N-3719 Skien (NO). BREIVIK, Harald [NO/NO]; Uranusveien 22, N-3942 Skjelsvik (NO).

(74) Agent: SANDBU, Elisabeth, Dahl; Norsk Hydro ASA, N-0240 Oslo (NO).

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Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: A NEW SILAGE AID, PROCESS FOR PREPARING THIS SILAGE AID AND USE OF THIS SILAGE AID

(57) Abstract

This invention relates to a new silage aid, process for preparation of this silage aid and use of the silage aid. The silage aid comprises at least one antioxidant selected from the group 2,6-di-tert-butyl-4-methylphenol (BHT), 3-tert-butyl-4-hydroxyanisole (BHA), tert-butylhydroquinone (TBHQ), tocopherol and gallates; at least one short chain carboxylic acid; and optionally at least one salt of said acid. These antioxidants are food approved. The present silage aid is useful for preservation of organic by-products, and particularly for protection of fish oil during a fish silage process.

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INTERNATIONAL SEARCH REPORT

International application No.

PCT/NO 00/00079 A. CLASSIFICATION OF SUBJECT MATTER IPC7: A23K 3/03, A23K 3/00 According to International Patent Classification (IPC) or to both national classification and IPC FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC7: A23K Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched SE,DK,FI,NO classes as above Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Category* Relevant to claim No. Х STN International, File CAPLUS, CAPLUS accession 1-13 no. 1989:532957, Document no. 111:132957, Bashkir Scientific-Research and Design-Technological Institute of Livestock Breeding and Feed Production: "Silage preservative containing agidol, orthophosphoric acid, and formic acid"; & SU, A1, 1449095, 19890107 STN International, File CAPLUS, CAPLUS accession Х 1-13 no. 1992:549879, Document no. 117:149879, Peop. Rep. China.: "Long-lasting fungicide compositions for feed preservation"; & CN, A 1059454, 19920318 Further documents are listed in the continuation of Box C. See patent family annex. Special categories of cited documents: later document published after the international filing date or priority date and not in conflict with the application but cited to understand document defining the general state of the art which is not considered the principle or theory underlying the invention to be of particular relevance criter document but published on or after the international filing date document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other step when the document is taken alone special reason (as specified) document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is document referring to an oral disclosure, use, exhibition or other combined with one or more other such documents, such combination document published prior to the international filing date but later than heing obvious to a person skilled in the art the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 3 1 -07- 2000 <u>26 July 2000</u> Name and mailing address of the ISA/ Authorized officer Swedish Patent Office

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Internal al application No.
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C (Continu	ation). DOCUMENTS CONSIDERED TO BE RELEVANT		
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A	STN International, File CAPLUS, CAPLUS access no. 1999:134258, Document no. 130:351563, White, M. B. et al: Digestibility by mink storage stability of feedstuffs made from ground, acid-treated or fermented dogfish acanthias)"; & Can. J. Anim. Sci (1998), 633-640	and raw (Scualus	1-13
			
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02/12/99

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MO	9937168	Ä1	29/07/99	NONE				
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